CONFIDENTIAL

WLA-SCS:2024
Assessment Form

1. Information on the assessment

Type of assessment:	☐ Initial certification	☐ Annual review	☐ Recertification
Type of certificate requested:	☐ WLA-SCS:2024 Level 1	☐ WLA-SCS:2024 Level 2 (requires ISO/IEC 27001)	

☐ The Statement of Applicability has been verified.

2. Front of the certificate

WLA member		
Number of premises included in the scope		
Address of premises included in the WLA-SCS scope		
All premises have been physically visited?	☐ Yes	☐ No
WLA-SCS scope		
WLA-SCS certificate number		
WLA-SCS initial certification		
WLA-SCS latest revision		
WLA-SCS expiry date		

ASE	
Address	

3. Back of the certificate (not requested for WLA-SCS Level 1)

ISO/IEC 27001 scope	
ISO/IEC 27001 certificate number	
ISO/IEC 27001 latest revision	
ISO/IEC 27001 expiry date	
ISO/IEC 27001 certifying body	

4. WLA-SCS:2024 Annex A (G controls)

Organizational controls

	Name of control	Audited	Not audited*	Not applicable
G.1	**Organization of security**			
G.1.1	**Allocation of security responsibilities**			
G.1.1.1	Security forum	☐	☐	☐
G.1.1.2	Security function	☐	☐	☐
G.1.1.3	Security function reporting	☐	☐	☐
G.1.1.4	Security function position	☐	☐	☐
G.1.1.5	Security function responsibility	☐	☐	☐
G.2	**Human resources security**			
G.2.1	**Implementation of a code of conduct**			
G.2.1.1	Code of conduct	☐	☐	☐
G.2.1.2	Adherence and disciplinary action	☐	☐	☐

G.2.1.3	Conflict of interest	☐	☐	☐
G.2.1.4	Hospitality or gifts	☐	☐	☐
G.2.1.5	Corporate wagering policy	☐	☐	☐
G.2.1.6	Personnel security	☐	☐	☐
G.2.1.7	Segregation of duties	☐	☐	☐
G.2.1.8	Policy on employee protection	☐	☐	☐
G.3	**Physical and environmental security**			
G.3.1	**Secure areas**			
G.3.1.1	Physical entry controls	☐	☐	☐
G.4	**Access control to gaming systems**			
G.4.1	**User access management**			
G.4.1.1	User access functions	☐	☐	☐
G.4.1.2	User access logging	☐	☐	☐
G.5	**Information systems security**			
G.5.1	**Cryptographic controls**			
G.5.1.1	Cryptographic controls for the confidentiality and integrity of data at rest on portable systems and on lottery terminals	☐	☐	☐
G.5.1.2	Cryptographic controls for the confidentiality and integrity of data in transit over networks	☐	☐	☐
G.5.1.3	Cryptographic controls for the integrity of sensitive ticket data	☐	☐	☐
G.5.2	**System testing**			
G.5.2.1	Test methodology policy	☐	☐	☐
G.5.2.2	Gaming system security testing	☐	☐	☐
G.5.3	**Managed services security**			
G.5.3.1	ISO/IEC27001 compliance	☐	☐	☐
G.5.3.2	Documented responsibilities and procedures	☐	☐	☐
G.5.3.3	Segregation and access control	☐	☐	☐
G.5.3.4	Hardening and protection of virtual components	☐	☐	☐
G.5.3.5	Monitoring	☐	☐	☐
G.5.4	**Gaming System Security**			

G.5.4.1	Layered systems architecture	☐	☐	☐
G.5.4.2	Responsible disclosure	☐	☐	☐

G.6 System availability and business continuity

G.6.1	**Availability of services and business continuity**			
G.6.1.1	Availability and resilience requirements	☐	☐	☐
G.6.1.2	Business Continuity	☐	☐	☐

5. WLA-SCS:2024 Annex B (L Controls)

Controls for the operation of games

	Name of control	**Audited**	Not audited*	Not applicable

L.1 Physical instant tickets

L.1.1	**Instant game operation**			
L.1.1.1	Lifecycle management and integrity requirements	☐	☐	☐
L.1.1.2	Game data integrity	☐	☐	☐
L.1.1.3	Ticket prize confidentiality	☐	☐	☐

L.2 Lottery draws

L.2.1	**Lottery draw management**			
L.2.1.1	Draw event	☐	☐	☐
L.2.1.2	Draw working instructions	☐	☐	☐
L.2.1.3	Draw team members	☐	☐	☐
L.2.1.4	Draw team duties	☐	☐	☐
L.2.1.5	Reserve draw team	☐	☐	☐
L.2.1.6	Draw timing	☐	☐	☐
L.2.1.7	Draw observers	☐	☐	☐
L.2.2	**Conduct of the draw**			
L.2.2.1	Draw procedure	☐	☐	☐
L.2.2.2	Draw step-by-step guide	☐	☐	☐
L.2.2.3	Draw location	☐	☐	☐

L.2.2.4	Draw attendance and responsibilities	☐	☐	☐
L.2.2.5	Draw supervision	☐	☐	☐
L.2.2.6	Draw operation security	☐	☐	☐
L.2.2.7	Draw emergency	☐	☐	☐
L.2.2.8	Draw integrity, alerting and reporting	☐	☐	☐
L.2.3	**Physical drawing appliances and ball sets**			
L.2.3.1	Inspection procedure	☐	☐	☐
L.2.3.2	Regular inspection and maintenance	☐	☐	☐
L.2.3.3	Compatible ball sets	☐	☐	☐
L.2.3.4	Replacement draw appliance	☐	☐	☐
L.2.3.5	Draw appliance and ball set handling, storage and movement	☐	☐	☐
L.2.3.6	Broadcast/streaming of the draw	☐	☐	☐
L.3	**Retailer security**			
L.3.1	**Retailer operations**			
L.3.1.1	Retailer security	☐	☐	☐
L.3.2	**Gaming terminal security**			
L.3.2.1	Transaction security	☐	☐	☐
L.4	**Prize payment**			
L.4.1	**Validation and payout of prizes**			
L.4.1.1	Validation process	☐	☐	☐
L.4.1.2	Unique ticket reference	☐	☐	☐
L.4.1.3	Security of unclaimed prize data	☐	☐	☐
L.4.1.4	Prize payout procedure	☐	☐	☐
L.4.1.5	Fraud detection	☐	☐	☐
L.4.1.6	Prize payout percentage monitoring	☐	☐	☐
L.4.1.7	Dispute or protest resolution procedure	☐	☐	☐
L.5	**Payment methods and player accounts**			
L.5.1	**Securing payment methods**			
L.5.1.1	Data collection	☐	☐	☐

L.5.1.2	Payment method protection	☐	☐	☐
L.5.1.3	Payment service approval	☐	☐	☐
L.5.2	**Player account**			
L.5.2.1	Player account	☐	☐	☐
L.5.2.2	Multiple player accounts	☐	☐	☐
L.5.2.3	Player exclusion	☐	☐	☐
L.5.2.4	Multiple payment instrument holder	☐	☐	☐
L.5.2.5	Transactional records related to payments	☐	☐	☐
L.6	**Sports, esports and horse race betting**			
L.6.1	**Selecting the offer**			
L.6.1.1	Betting framework	☐	☐	☐
L.6.2	**Events, odds and result management**			
L.6.2.1	Events, odds and result management	☐	☐	☐
L.6.2.2	Live betting	☐	☐	☐
L.6.2.3	Safeguarding payout levels	☐	☐	☐
L.6.3	**Monitoring for fraud and money laundering**			
L.6.3.1	Monitoring the betting activities	☐	☐	☐
L.7	**Interactive Video Lottery Terminals**			
L.7.1	**Video Lottery Terminals (VLT)**			
L.7.1.1	VLT terminals	☐	☐	☐
L.7.1.2	VLT games	☐	☐	☐
L.7.1.3	VLT game certificate	☐	☐	☐
L.7.1.4	VLT system architecture	☐	☐	☐
L.8	**Random number generation**			
L.8.1	**Randomness in electronic draws and chance-based digital games**			
L.8.1.1	Physical and logical protection of the technical system	☐	☐	☐
L.8.1.2	Secured transmissions	☐	☐	☐
L.8.1.3	Electronic draw randomness and integrity verification	☐	☐	☐
L.8.1.4	Segregation of duties	☐	☐	☐

L.9	**Online games**			
L.9.1	**Online games**			
L.9.1.1	Online game rules	☐	☐	☐
L.9.1.2	Online games certificate	☐	☐	☐
L.9.1.3	Online games exit	☐	☐	☐
L.9.1.4	Discrepancies of online game results	☐	☐	☐
L.9.1.5	Security of games with pre-determined winners	☐	☐	☐
L.10	**Game design and approval**			
L.10.1	**Game design and approval**			
L.10.1.1	Documented game rules rules	☐	☐	☐
L.10.1.2	Game approval and modification	☐	☐	☐
L.10.1.3	Gaming Supplier selection	☐	☐	☐

6. WLA-SCS:2024 Annex C (S Controls)
Controls for the development of gaming systems and the provision of gaming services

The S controls apply to the development of gaming systems and the provision of gaming services, whether that be a gaming supplier or the gaming operator's own in-house developers. In the case that a gaming operator or gaming supplier acquires a gaming system or services from a third party, it is the gaming operator's or gaming supplier's responsibility to assure compliance to these S controls from the third party.

	Name of control	**Audited**	Not audited*	Not applicable
S.1	**Gaming systems security assurance**			
S.1.1	**Gaming system application secure development**			
S.1.1.1	Application development security policy	☐	☐	☐
S.1.1.2	Security testing	☐	☐	☐
S.1.1.3	Representativeness of the security tests	☐	☐	☐
S.1.1.4	Secure coding practices	☐	☐	☐
S.1.1.5	Secure coding training and awareness	☐	☐	☐

S.1.2	**Integrity measures related to the development of gaming system hardware, software and firmware**			
S.1.2.1	Release process integrity checks	☐	☐	☐
S.1.2.2	Security logging	☐	☐	☐
S.1.2.3	File integrity	☐	☐	☐
S.1.2.4	Hardware integrity	☐	☐	☐
S.1.2.5	Vulnerability and patch management	☐	☐	☐
S.1.2.6	Responsible disclosure	☐	☐	☐
S.1.3	**Integrity measures related to printing of physical instant tickets**			
S.1.3.1	Physical instant game requirements	☐	☐	☐
S.1.3.1.1	Instant game requirements	☐	☐	☐
S.1.3.2	Creating and validating the data	☐	☐	☐
S.1.3.2.1	Instant game data generation	☐	☐	☐
S.1.3.2.2	Game data validation	☐	☐	☐
S.1.3.2.3	Data confidentiality	☐	☐	☐
S.1.3.3	Printing	☐	☐	☐
S.1.3.3.1	Validation before printing	☐	☐	☐
S.1.3.3.2	Integrity checks	☐	☐	☐
S.1.3.4	Finishing	☐	☐	☐
S.1.3.4.1	Unique ticket reference number	☐	☐	☐
S.1.3.4.2	Prize structure conformity	☐	☐	☐
S.1.3.4.3	Scrapped tickets	☐	☐	☐
S.1.3.4.4	Shipping security	☐	☐	☐

7. WLA-SCS:2024 Annex D (M Controls)

Controls for multijurisdictional games

	Name of control	**Audited**	Not audited*	Not applicable
M.1	**Requirements to participate in games run by the Multi-State Lottery Association (MUSL)**			
M.1.1	**Security, integrity and availability of transactions**			
M.1.1.1	Claim Validations	☐	☐	☐

M.1.1.2	Redundancy of transaction data	☐	☐	☐
M.1.1.3	Acknowledgement of transaction	☐	☐	☐
M.1.1.4	Backup of play data	☐	☐	☐
M.1.1.5	Integrity of transactions before and after a draw	☐	☐	☐
M.1.2	**Security of retailer point of sale device**			
M.1.2.1	Retailer point of sale device	☐	☐	☐
M.1.2.2	Lottery terminals not intended to produce live tickets	☐	☐	☐
M.1.3	**Quick picks**			
M.1.3.1	Randomness of quick picks	☐	☐	☐
M.1.4	**Separation between ICS and CGS**			
M.1.4.1	Separation between the computer gaming system and the internal control system	☐	☐	☐
M.1.5	**Draw process**			
M.1.5.1	Usage of same personnel and internal control system	☐	☐	☐
M.1.6	**Intrusion detection system**			
M.1.6.1	Intrusion detection system on ICS and CGS networks	☐	☐	☐

* Applicable controls can be marked ”Not audited” during annual reviews (see the GtC, **Part A, Section 5.5.4**). In the case of initial certifications or recertification assessments, any exclusion needs to be formally justified and accepted by the accountable persons and the WLA. For more information see section 1. Scope on page 3 of the WLA-SCS:2024 – Security Control Standard.

8. Auditor's recommendations

Upon completion of the audit, the auditor shall provide a recommendation on certification based on the member's compliance to the WLA-SCS.

In cases where not all premises were physically visited, or where applicable controls were not assessed, the auditor must include all details here in this field.

All details and information contained in this form are used for issuing the WLA-SCS certificate. By signing the assessment form, the auditor certifies that all mandatory and applicable controls have been thoroughly and correctly audited. The auditor also guarantees that the WLA-SCS:2024 and the Guide toCertification for the WLA Security Control Standard have been read and understood and that the mandatory requirements for conducting a WLA-SCS audit have been met.

6. Signature

Auditor			
Date		Signature	

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